

FOR PTO-1390 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES

002.00150 (MEDY/P22233US)

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

CONCERNING A FILING UNDER 35 U.S.C. 371

09/868118

INTERNATIONAL APPLICATION NO.
PCT/GB99/04228

INTERNATIONAL FILING DATE
14 December 1999 (14.12.99)

PRIORITY DATE CLAIMED
14 December 1998 (14.12.98)

TITLE OF INVENTION

SCREENING METHODS

APPLICANT(S) FOR DO/EO/US

Pamela D. Torrance, Antonio Casamayor, Jeremy W. Thorner

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Communication

| | | | | | |
|---|--|---|--|---|--|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <div style="font-size: 1.5em; font-weight: bold;">09/868118</div> | | INTERNATIONAL APPLICATION NO. PCT/GB99/04228 | | ATTORNEY'S DOCKET NUMBER 002.00150(MEDY/P22233U) | |
|---|--|---|--|---|--|

| | | | | | |
|---|--------------|--------------|-----------|---|----|
| 24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right; font-weight: bold;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> | | | | CALCULATIONS PTO USE ONLY | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 | | | | <div style="border: 1px solid black; padding: 2px;">\$860.00</div> <div style="border: 1px solid black; padding: 2px;">\$130.00</div> | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 52 - 20 = | 32 | x \$18.00 | \$576.00 | |
| Independent claims | 15 - 3 = | 12 | x \$80.00 | \$960.00 | |
| Multiple Dependent Claims (check if applicable). <input checked="" type="checkbox"/> | | | | \$270.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$2,796.00 | |
| <input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2. | | | | \$0.00 | |
| SUBTOTAL = | | | | \$2,796.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 | | | | \$0.00 | |
| TOTAL NATIONAL FEE = | | | | \$2,796.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/> | | | | \$0.00 | |
| TOTAL FEES ENCLOSED = | | | | \$2,796.00 | |
| | | | | Amount to be: refunded | \$ |
| | | | | charged | \$ |

a. ☒ A check in the amount of \$2,742.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0772 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Karla M. Weyand
 Braman & Rogalskyj, LLP
 PO Box 352
 Canandaigua, New York 14424
 Tel: (716) 626-5380
 Fax: (716) 626-5384

Karla M. Weyand
 SIGNATURE

Karla M. Weyand
 NAME

40, 223
 REGISTRATION NUMBER

June 14, 2001
 DATE

| | |
|---|---|
| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Torrance et al. | Docket No. 002.00150(MEDY/P22233US) |
|---|---|

| | | | |
|------------------------------|-------------------------|----------|----------------|
| Serial No. To Be Assigned | Filing Date Herewith | Examiner | Group Art Unit |
|------------------------------|-------------------------|----------|----------------|

Invention: **SCREENING METHODS**

I hereby certify that the following correspondence:

Transmittal Letter (2 pgs), Correspondence (1 pg), Preliminary Amendment (11 pgs)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

June 14, 2001
(Date)

Karla M. Weyand
(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)

EK455418075US
("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

002.00150 (MEDYP22233US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Torrance et al.)
Serial No.: To be assigned (U.S.)
National Stage of)
PCT/GB99/04228)
Filed: Herewith)
For: SCREENING METHODS)

COMMUNICATION

Assistant Commissioner for Patents
Washington, D.C. 20231
Box PCT

Dear Sir:

Enclosed are Notification Of The Recording Of A
Change forms (3 pages) for the above-identified patent
application indicating the applicant/inventor names and
addresses.

Please direct any questions regarding this
application to applicants' undersigned attorney at the number
provided.

Respectfully submitted,

Dated:

June 14, 2001

Karla M Weyand
Karla M. Weyand
Reg. No. 40,223
Attorney for Applicants

Braman & Rogalskyj, LLP
P.O. Box 352
Canandaigua, New York 14424-0352
Telephone: (716) 626-5380
Facsimile: (716) 626-5384